### Development of an Out-of-Hospital Cardiac Arrest Surveillance Registry

Bryan McNally, MD, MPH Executive Director CARES Associate Professor of Emergency Medicine Emory University School of Medicine Rollins School of Public Health Atlanta, Georgia USA





## CARES FUNDING PARTNERS

American Heart Association
American Red Cross
Emory University
Medtronic Foundation
Zoll Corporation

## **Treatment and Performance**



**Disparate outcomes are almost certainly due to timeliness and quality of treatment** 

# Efficacy vs Effectiveness

## Efficacy vs. Effectiveness

**Efficacy** is the extent to which a treatment has the ability to bring about its intended effect <u>under ideal circumstances</u>, such as in a randomized clinical trial.

Effectiveness is the extent to which a treatment achieves its intended effect in the usual clinical setting.

## Efficacy vs. Effectiveness

**Efficacy** is not the same as **effectiveness**. A treatment is effective if it works in real life in non-ideal circumstances.

<u>Effectiveness</u> cannot be measured in controlled trials, because the act of inclusion into a study is a distortion of usual practice.

## Efficacy vs. Effectiveness

"It is an irony that drugs are licensed for use almost exclusively on the results of controlled trials, yet they are withdrawn from use because of observational data that would not be acceptable to licensing authorities."

John Marley, Professor, Department of General Practice, University of Adelaide, Adelaide. Australian Prescriber 2000;23:114-5

## **Treatment Decisions**

### Guided by:

National Guidelines (AHA ECC) Local Protocols (Medical Direction) Randomized Control Trials (ROC) Observational Studies (CARES)

## **Performance Decisions**

### Guided by:

Registry Data (CARES, ROC-Epistry) Clinical Data (Code Summary) Benchmarking (Local, State, National Reports) Quality Improvement/Feedback (Local Efforts)

# Domino's vs. EMS



## Hungry?

- 30 minutes call-to door guaranteed.
- Customer input for QI
- Cost: \$10.95 (plus tip)



### Cardiac Arrest?

- Call-to-door time rarely tracked
- No performance metrics, no QI
- Cost: Priceless

### Dr Angelo Salvucci, Ventura County, CA

## Can we do better?



"Most cities don't measure their performance effectively, if at all. They don't know how many lives they are losing, so they can't determine ways to increase survival rates."

- Bob Davis, "Six Minutes to Live" USA Today, 2003

## Institute of Medicine Report on EMS

"What is missing is a standard set of measures that can be used to assess the performance of the emergency and trauma care system within each community, as well as the ability to benchmark that performance against statewide and national performance metrics."

EMERGENCY **-UTURE OF EMERGENCY CARE** MEDICAL SERVICES CROSSROADS

# You can't manage what you can't measure!

The first step to improving survival rates is to begin collecting data in order to better understand performance

### **Quality Improvement Elements of a Resuscitation System**

### Developing a culture of high quality resuscitation



Travers AH, et al. (2010) Circulation;122:S676-S684

### Circulation



### Improving Emergency Cardiac Care Saves Lives

CARES Cardiac Arrest Registry to Enhance Survival

National Center for Chronic Disease Prevention and Health Promotion Division for Heart Disease and Stroke Prevention



animanca









Home

About CARES ~ Press/Publications ~

Data ~

For Existing Sites ~

Education/Resource ~

Contact Us

### The Cardiac Arrest Registry to Enhance Survival

In 2004, the Centers for Disease Control and Prevention (CDC) collaborated with Emory University to develop a registry that could help increase OHCA survival rates.

CARES is a secure, Web-based data management system in which participating communities enter local data and generate their own reports. Communities can compare their EMS system performance to de-identified aggregate statistics at the local, state, or national level and discover practices that could improve emergency cardiac care.



REGISTERED USERS LOGIN 2 Username Password » Click here to learn more Login about enrollment.

#### LATEST NEWS

Purus Quam Tellus Egestas Fringilla » Setus auctor fringilla. Cum sociis na toque penatibus et magnis dis parturient.



LEARN MORE -









### CARES as a uniform data collection system for OHCA

#### Need for a registry

- Data collection into a registry at the regional, state or national level enables providers or EMS systems to benchmark their outcomes and results with other communities
- Allows for identification of strengths and weaknesses used to improve the quality of care
- Steps toward making cardiac arrest a reportable disease

#### CARES

Data collection mechanism

- Makes the data collection process more efficient - linkage between EMS, Hospital and CAD outcome
- Benchmarking capabilities
- Measurement tool





### **CARES** software is web based

Allows for the consolidation of three separate silos of data

#### Sansio

Mainframe housed in Duluth, MN USA

#### Internet database system

- https://mycares.net
- HIPAA compliant security

### **Reporting features**

- Utstein Survival Reports
- EMS/FR response time reports
- Demographic reports
- Excel Export

### Unifies EMS, 911 dispatch, and hospital data

Any EMS system throughout US



### **CARES has two methods for EMS data collection**

Direct entry online and mobile field entry

#### Direct entry online

 Data can be entered directly into the registry wherever there is internet connection by CARES EMS contact or EMS field providers/supervisors



### Mobile field entry

 Data can be automatically extracted from the electronic Patient Care Report which then autopopulates the CARES registry.



	Cardiac Arrest Re	gistry
Part A : Demographic Information		
	6 - Last Name 6 - Last Name 10 - Gend 10 - Gen	
Part B : Run Information 14 - Date of Arrest	15 - Incident #	
First Responding Agency	47. Destination	11
16 - Fire/First Responder		
18 - Location Type       Home/Residence     Healthcare Fa       Public/Commercial Bidg     Race of Recr       StreetHwy     Industrial Race       Nursing Home     Transport Cel       Other: Specify     Industrial Race	19 - Arrest Witnessed 20 - Arrest After Arrival acility Witnessed Arrest Yes On Witnessed Arrest No	of 911 Responder 21 - Presumed Cardiac Arrest Etiology Presumed Cardiac Etiology Trauma Respiratory Drowning Electrocution Other
22 - Resuscitation attempted by 911 Re (or AED shock given prior to BMS arr Yes No     No     25 - Was an AED applied prior to BMS a Yes, with defibrillation Yes, without defibrillation No	sponder       23 - Who Initiated CPR       2         ival)       Not Applicable       2         Lay Person       Lay Person Family Member       2         Lay Person Family Member       2       2         Image: Lay Person Medical Provider       2       2         First Responder (non-EMS)       2       2         errival       27 - Who First Applied the AED       2         Lay Person Family Member       2       2         Lay Person Family Member       2       2         Lay Person Medical Provider       2       2         First Responder (non-EMS)       2       2         First Responder (non-EMS)       3       3         First Responder (non-EMS)       3       4         Image: Person Redical Provider       3       4         Image: Person Redical Provider       3       4         Image: Person Redical Provider       4       5         Image: Person Redical Provider       4       5         Image: Person Redical Provider       5       6         Image: Person Redical Provider       5       6         Image: Person Redical Provider       5       6         Image: Person Redical Provider       5       6 <td>24 - Type of Bystander CPR Provided Compressions only Compressions Only Ventilations Only 25 - Were Dispatcher CPR instructions provided: Yes No Unknown 28 - Who First Defibrillated the Patient Not Applicable Lay Person Family Member Lay Person Medical Provider First Responder (non-BMS) First, did the Police defibrillate the patient: Yes No No No Seesonding EMS Personnel</td>	24 - Type of Bystander CPR Provided Compressions only Compressions Only Ventilations Only 25 - Were Dispatcher CPR instructions provided: Yes No Unknown 28 - Who First Defibrillated the Patient Not Applicable Lay Person Family Member Lay Person Medical Provider First Responder (non-BMS) First, did the Police defibrillate the patient: Yes No No No Seesonding EMS Personnel
First Cardiac Arrest Rhythm of Patient and         30 - First Arrest Rhythm of Patient         31 -         Ventricular Fibrillation         or p         Ventricular Tachycardia         Y         Asystole         VI         Idioventricular/PEA         Unknown Shockable Rhythm         Unknown Unshockable Rhythm	IROSC Information <u>Sustained ROSC</u> (20 consecutive minutes) resent at end of EMS Care (es, but pulseless at end of EMS care(or ED arrival) (es, pulse at end of EMS care (or ED arrival) No	32 - Was hypothermia       33 - End of Event         care provided in the field       Pronounced in the Field         Yes       Pronounced in the ED         No       Effort cassed due to DNR         Ongoing Resuscitation in ED
46 - ER Outcome       4         Resuscitation terminated in ED       4         Admitted to hospital       1         Transferred to another acute care facility from the ED       4         47 - Was hypothermia care initiated or continued in the hospital       1         Yes       No	Hos pital Outcom e     Died in the hospital     Discharged alive     Patient made DNR     F yes, choose one of the following:         Discharged alive         Discharged alive         Transferred to another acute care hospital         Not yet determined     Transferred to another acute care hospital	49 - Discharge from the Hospital         Home/Residence         Rehabilitation Facility         Skilled Nursing Facility/Hospice         50 - Ne urological Outcome At Discharge         From Hospital         Good Cerebral Performance (CPC 1)         Moderate Cerebral Disability (CPC 2)         Severe Cerebral Disability (CPC 3)         Come Venetries State (CPC 4)

### **Hospital component**



#### Home Setup Y eCares Y Reports Y Tools Y Log Out

#### Part E: Hospital Section - Please complete the following questions

#### 46 - ER Outcome

- C Resuscitation terminated in ED
- C Admitted to hospital
- C Transferred to another acute care facility from the ED
- 47 Was hypothermia care initiated or continued in the hospital Yes
  - No Yes

- 48 Hospital Outcome
  - Died in the hospital
  - Discharged alive
  - Patient made DNR

If yes, choose one of the following:

- Transferred to another acute care hospital
- Not yet determined

#### 49 - Discharge From The Hospital

- Home/Residence
- Rehabilitation facility
- Skilled Nursing Facility/Hospice

#### 50 - Neurological Outcome At Discharge From Hospital

83

- Good Cerebral Performance (CPC1)
- Moderate Cerebral Disability (CPC2)
- Severe Cerebral Disability (CPC3)
- Coma, Vegetative State (CPC 4)

### CARES 2012 Site Map



### **CARES International Collaboration**



## PAROS Participating Countries





Morbidity and Mortality Weekly Report

July 29, 2011

#### Out-of-Hospital Cardiac Arrest Surveillance — Cardiac Arrest Registry to Enhance Survival (CARES), United States, October 1, 2005–December 31, 2010





U.S. Department of Health and Human Services Centers for Disease Control and Prevention



#### Catchment Area



#### **Presenting Arrest Rhythm** n=31,645



n=3,041

#### Utstein Survival Report

All Agencies/National Data Service Date: From 1/1/12 Through 12/31/12



Data	National N=25116	Data	Washington N=1818
Pre-hospital Outcome (%)	N=25115	Pre-hospital Outcome (%)	N=1818
Pronounced in the Field	6336 (25.2)	Pronounced in the Field	698 (38.4)
Pronounced in ED	3962 (15.8)	Pronounced in ED	93 (5.1)
Ongoing Resuscitation in ED	14817 (59.0)	Ongoing Resuscitation in ED	1027 (56.5)
Overall Survival (%)	N=25116	Overall Survival (%)	N=1818
Overall Survival to Hospital Admission	6664 (26.5)	Overall Survival to Hospital Admission	609 (33.5)
Overall Survival to Hospital Discharge	2519 (10.0)	Overall Survival to Hospital Discharge	261 (14.4)
With Good or Moderate Cerebral Performance	1986 (7.9)	With Good or Moderate Cerebral Performance	230 (12.7)
Missing hospital outcome	188	Missing hospital outcome	29
Utstein Survival (%) Witnessed by bystander and found in shockable rhythm	N=3434 31.7%	Utstein Survival (%) Witnessed by bystander and found in shockable rhythm	N=337 38.6%
Utstein Bystander Survival (%) Witnessed by bystander, found in shockable rhythm, and received some bystander intervention (CPR by bystander and/or AED applied by bystander)	N=1922 37.3%	Utstein Bystander Survival (%) Witnessed by bystander, found in shockable rhythm, and received some bystander intervention (CPR by bystander and/or AED applied by bystander)	N=230 38.7%
Who Initiated CPR? (%)	N=25116	Who Initiated CPR? (%)	N=1818
Not Applicable	44 (0.2)	Not Applicable	9 (0.5)
Total Bystanders <sup>*</sup>	9491 (37.8)	Total Bystanders*	922 (50.7)
First Responder	7136 (28.4)	First Responder	137 (7.5)
Emergency Medical Services (EMS)	8445 (33.6)	Emergency Medical Services (EMS)	750 (41.3)

### **Hospital Report**

Presumed Cardiac Etiology, Resuscitation Attempted by 911 Responder, End of Event = Pronounced in ED or Ongoing Resuscitation in ED Agency: Cares Demo

	Number of Patients (%)
Sustained ROSC in the field	27 (58.7)
Hypothermia care initiated/continued in the hospital (among admitted patients)	13 (65.0)
Discharged with good/moderate CPC	15 (32.6)

Initial Rhythm	Total	Survived to Admission (43.5)	Survived to Discharge (32.6)
Shockable	32(69.6)	13(65.0)	12(80.0)
Unshockable	14(30.4)	7(35.0)	3(20.0)
Asystole	7	3	1
VFib	22	8	7
VTach	6	2	2
Idioventricular/PEA	3	1	1
Unknown Unshockable	4	3	1
Unknown Shockable	4	3	3
	46	20	15

### 2010 Cohort Who Initiated CPR?

2010	2011	2012	
N=12217	N=12606	N=13453	
14 (0.1)	21 (0.2)	23 (0.2)	
4009 (32.8)	<mark>4731 (37.5)</mark>	<mark>5102 (37.9)</mark>	
4561 (37.3)	3520 <mark>(</mark> 27.9)	3907 (29.0)	
3633 (29.7)	4334 <mark>(</mark> 34.4)	4421 (32.9)	
	2010 N=12217 14 (0.1) 4009 (32.8) 4561 (37.3) 3633 (29.7)	2010       2011         N=12217       N=12606         14 (0.1)       21 (0.2)         4009 (32.8)       4731 (37.5)         4561 (37.3)       3520 (27.9)         3633 (29.7)       4334 (34.4)	201020112012N=12217N=12606N=1345314 (0.1)21 (0.2)23 (0.2)4009 (32.8)4731 (37.5)5102 (37.9)4561 (37.3)3520 (27.9)3907 (29.0)3633 (29.7)4334 (34.4)4421 (32.9)

### 70 Communities Participating in 2010 Population of 26,688,033

### 2010 Cohort Survival

	2010	2011	2012	
Overall Survival (%)	N=12219	N=12607	N=13453	
Overall Survival to Hospital Admission	3373 (27.6)	3401 (27.0)	3668 (27.3)	
Overall Survival to Hospital Discharge	1269 (10.4)	1356 (10.8)	1430 (10.6)	0.524
With Good or Moderate Cerebral Performance	958 (7.8)	999 (7.9)	1096 (8.1)	0.366
Missing hospital outcome	101	97	96	
Utstein Survival (%)	N=1681	N=1673	N=1831	
Witnessed by bystander and found in shockable rhythm	31.6%	32.6%	33.5%	0.219
Utstein Bystander Survival (%)	N=803	N=921	N=1001	
Witnessed by bystander, found in shockable rhythm, and received some bystander intervention (CPR by bystander	34.7%	36.5%	40.6%	0.011
and/or AED applied by bystander)				



#### Figure 1. Predicted Probability of Bystander-Initiated Cardiopulmonary Resuscitation (CPR).



### New England Journal of Medicine

## CARES

- Allows communities to determine <u>OHCA</u> <u>outcomes</u> & <u>identify high risk groups</u> and neighborhoods
- Enables <u>clinical benchmarking</u> to identify opportunities for improvement and track the diffusion of new therapies
- Promotes <u>accountability</u> to improve the quality and impact of prehospital care

Create a model national cardiac arrest registry capable of identifying and tracking all cases in a defined geographic area

Helps EMS and the larger community identify:

- <u>Who</u> is affected
- <u>When</u> and <u>where</u> cardiac arrests occur
- <u>Which</u> elements of the system are functioning well and those that are not
- How changes can be made to improve cardiac arrest outcomes

The goal is to help communities improve cardiac arrest survival

### **Program Focus and Goals for 2013-2014**

#### **Focus States and Sites**

The map below identifies the 11 focus CARES states that will be targeted for expansion in 2013-2014.



2013-2014 FOCUS CARES STATES





## Software Module Update

- Dispatcher CPR Module
  AED Module
- Hypothermia Module
- CPR Metrics Module
- Mirror Modules for CARES/PAROS
- Beta testing occurring



### National Bystander CPR Data



FIGURE 6. Cumulative bystander cardiopulmonary resuscitation (CPR), by participating emergency medical services agency — Cardiac Arrest Registry to Enhance Survival (CARES), United States, October 1, 2005–December 31, 2010\*

Abbreviation: CPR = cardiopulmonary resuscitation.

\* Agencies sorted by total number of out-of-hospital cardiac arrest events in CARES (from low to high; range: 18–5,434).

Cardiac Arrest Registry to Enhance Survival (CARES)

### Who First Initiated CPR

Who First Initiated CPR	Ν	%
Lay Person	3,275	10.4
Lay Person Family Member	3,361	10.6
Lay Person Medical Provider	3,898	12.3
First Responder	11,279	35.7
Responding EMS Personnel	9,812	31.0
	31,625	

### For Witnessed VF/VT Cases\*

Survival rates by Response time & Bystander CPR status:

Response Time	< 4 minutes	4-8 minutes	> 8 minutes
BCPR YES	44%	35%	31%
BCPR NO	36%	26%	20%

\* Excluding arrests after arrival of EMS/First Responders

## **Dispatch Chain of Survival**

Bystander CPR instructions for emergency medical dispatchers



#### **Building Blocks of CPR.**



#### Travers A H et al. Circulation 2010;122:S676-S684



Copyright © American Heart Association



### Bystander CPR since initiation of dispatcher assistance (1985 - 2007)

20% Dispatcher-assisted

30% Bystander-initiated (no dispatch assist)

Potential to nearly double proportion who receive CPR

### **Bystander CPR Improves Chance of Survival**



## AHA DA-CPR Position Paper Four Recommendations for EMD

- Dispatchers should assess whether someone has had a cardiac arrest and if so, tell callers how to administer CPR immediately.
- Dispatchers should confidently give Hands-Only CPR instructions for adults who have had a cardiac arrest not caused by asphyxia (as in drowning).
- Communities should measure performance of dispatchers and local EMS agencies, including how long it takes until CPR is begun.

Performance measurements should be part of a quality assurance program involving the entire emergency response system including EMS and hospitals.

Dispatch: Preliminar	y		
Dispatch Agency:	Date/Time of Ca	ll: Incident #:	<b>DISPATCH CPR MODULE</b>
Transfer Call? Yes No Unknown	If yes, time elapsed be Minutes: Sec	fore dispatcher first addressed onds:	I caller? Was this a cardiac arrest before arrival of EMS? Yes No Unknown
CPR already in progres	35?	CPR instructions delivered Yes No Unknown	P Chest Compressions Performed? Ves No Unknown
Barriers to CPR Hang up phone	Caller left phone	Caller refused	Other (please specify)

Dispatch: Patient			
	Conscious?	Breathing Normally?	
Adult	O Yes	O Yes	
Child	O No	○ No	
O Infant	Unknown	Unknown	

Dispatch: Time Measures			
Dispatch Recognizes Need for CPR:	Dispatcher Began Instructions:	Time of First Compression:	Time of First Rescue Breaths:
hh mm ss	hh : mm : ss	hh : mm : ss	hh : mm : ss

Dispatch: Comments Coaching or compliments for dispatcher?







# Early use of automated external defibrillator (AED).



#### **Survival Rates by Response Time**

ALL

UNWITNESSED



**Response Time Interval (minutes)** 

TABLE 5. Number and percentage of persons who experience and those who survive a bystander witnessed out-of-hospital cardiac arrest and are found in a shockable rhythm, by clinical characteristics - United States, 2005-2010

	Experience		Survive	
Characteristic	No.	(%)	No.	(%)
Who first initiated CPR				
Bystander	2,076	49.0	696	33.5
911 Responder	2,164	51.0	580	26.8
Total	4,240	100	1,276	30.1
Who first applied AED/monitor				
Bystander	376	8.9	188	50.0
911 Responder	3,867	91.1	1,090	28.2
Total	4,243	100	1,278	30.1

Device Information				
Make:	Model:	Year:	Serial Number:	-
<ul> <li>If Other, please specify:</li> </ul>				
Date of Sale Device Resold?				
Frequency of checking the device for readiness	Results of device	readiness checks	AED	
T Other, please specify:				
			MODULE	

Location Information	<u> </u>				
Mobile vs. Fixed	Mobile Locat	ion 💽	Fixed Location		
Organization/Company	ny Name		Commonp	lace Name	
Street Address			Address N	lotes:	
City	State Zip Code	County	9		
Vertical Location [Flo	or]		AED is st	tored in an:	
Publicly available	Hours of Operation	AED can be used by			
T Simona Sim	nene il contine indicatore in pla		<b></b>		
Act signage sig	nager_ocation indicators in pa	ice r			
On-Site Contact Name	e On-site Contact Email	address On-site Contac	t Phone Number		

AED Program Information				
AED Program Coordinator Name	AED Program Coordinator Email address	AED Program Coordinator Phone Number	Date of Last Update	
		· · · · ·	non-edtable timestamp?	



Rapid delivery of advanced life support.



## **Resuscitation Academy**

improving cardiac arrest survival rates, one community at a time

## Improvement Is Possible





Color State















### Early postresuscitative care.









<sup>© 2010</sup> American Heart Association

#### Peberdy, M. A. et al. Circulation 2010;122:S768-S786

## **Future Outcomes**

Efficacy – ideal circumstances Effectiveness – real world Treatment – what to do Performance – how well we do

### CARES AIRWAY DATA

Advanced Management Technique	N (%)
Supraglottic Airway	3,110 (29.3%)
Esophageal-Tracheal Combitube	309 (2.9%)
Laryngeal Mask Airway	55 (0.5%)
King Laryngeal Tube	2,746 (25.8%)
Endotracheal Intubation	5,591 (52.6%)
No Successful Advanced Airway Intervention	1,929 (18.2%)
Other*	62 (0.5%)

### CARES AIRWAY DATA

Outcome	No Advanced Airway (n=1,929)	Supraglottic Airway (n=3,110)	Endotracheal Intubation (n=5,591)	P-value
Field Termination of Resuscitation (%)	33.8	34.6	22.3	<0.001
Sustained ROSC (%)	36.5	25.5	33.8	< 0.001
ED Survival (%)	33.4	21.4	26.6	< 0.001
Hospital Survival (%)	21.9	6.7	8.3	< 0.001
Hospital Survival with Good Neurological Outcome (%)	18.6	5.2	5.4	<0.001

### AIRWAY STUDY PROPOSAL



CARES WEBSITE https://mycares.net

bmcnall@emory.edu